

**CALIFORNIA ASSOCIATION OF MUTUAL WATER COMPANIES
JOINT POWERS RISK AND INSURANCE MANAGEMENT AUTHORITY
CONFLICT OF INTEREST CODE**

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. Sec. 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the **California Association of Mutual Water Companies Joint Powers Risk and Insurance Management Authority (Cal Mutuals JPRIMA)**.

Individuals holding designated positions shall file their statements of economic interests with the **Cal Mutuals JPRIMA**, which will make the statements available for public inspection and reproduction. (Gov. Code Sec. 81008.) All statements will be retained by the **Cal Mutuals JPRIMA**.

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APPENDIX A- DESIGNATED POSITIONS

<u>Designated Positions to file:</u>	<u>Disclosure Category</u>
Auditor/Controller	1, 2, 4, 5
Executive Director	1, 2, 4, 5
Insurance Administrator	1, 4, 5
Finance Manager	1, 3, 4
General Counsel	1, 2, 3, 4
Consultants/New Positions	*

Note: The positions of Insurance Administrator and General Counsel are filled by outside consultants, but act in a staff capacity.

*With respect to consultants/new positions, the Executive Director may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus, is not required to comply fully with the disclosure requirements described in this section. Such determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Government Code Section 81008.)

The following positions are not covered by the code because the positions manage public investments. Individuals holding such positions must file under Government Code Section 87200 and are listed for informational purposes only. Section 87200 requires disclosure of all investments and business positions in business entities, all income, including gifts, loans and travel payments, and real property.

- Members of Board of Directors and Alternates

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APPENDIX B- DISCLOSURE CATEGORIES

Disclosure Categories

Category 1: Investments and business positions in business entities, and income (including receipt of loans, gifts, and travel payments), from sources of the type that contract with Cal Mutuals JPRIMA to supply goods, services, materials, or supplies.

Category 2: Interests in real property located within the Authority's jurisdiction of the type purchased or leased by the Cal Mutuals JPRIMA for its use.

Category 3: Investments and business positions in business entities, and sources of income (including receipt of gifts, loans, and travel payments) from, entities that supply services, materials, products, supplies, commodities or equipment of the type utilized by the designated position's division or department. Such services include, but are not limited to, insurance coverage or reinsurance, underwriting, claims processing, and risk management.

Category 4: Investments and business positions in business entities, and income (including receipt of loans, gifts, and travel payments), from sources that have filed a claim or have a claim pending that are reviewed and administered by Cal Mutuals JPRIMA or its designated agents.

Category 5: Investments and business positions in business entities, and income (including receipt of loans, gifts, and travel payments), from sources that are engaged in the performance of work or services of the type utilized by the Cal Mutuals JPRIMA, including insurance and reinsurance companies, carriers, holding companies, underwriters, brokers, solicitors, agents, adjusters, claims managers, and actuaries.

This is the last page of the conflict of interest code of the **California Association of Mutual Water Companies Joint Powers Risk and Insurance Management Authority**.



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict of interest code for the **California Association of Mutual Water Companies Joint Powers Risk and Insurance Management Authority** was approved on 8/11/, 2016.
This code will become effective on 9/10/, 2016.

A handwritten signature in black ink, appearing to read "B. Lau", written over a horizontal line.

Brian G. Lau

Senior Commission Counsel

Fair Political Practices Commission